

**Title:** Flow ball-assisted voice training: immediate effects on vocal fold contacting

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1           ABSTRACT

2           Objective: Effects of exercises using a tool that promotes a semi-occluded artificially  
3 elongated vocal tract with real-time visual feedback of airflow – the flow ball - were tested  
4 using voice maps of EGG time-domain metrics.

5           Methods: Ten classically trained singers (5 males and 5 females) were asked to sing  
6 *messa di voce* exercises on eight scale tones, performed in three consecutive conditions:  
7 baseline ('before'), flow ball phonation ('during'), and again without the flow ball ('after').  
8 These conditions were repeated eight times in a row: one scale tone at a time, on an  
9 ascending whole tone scale. Audio and electroglotographic signals were recorded using a  
10 Laryngograph microprocessor. Vocal fold contacting was assessed using three time-domain  
11 metrics of the EGG waveform using FonaDyn. The quotient of contact by integration,  $Q_{ci}$ ,  
12 the normalized peak derivative,  $Q_{\Delta}$ , and the index of contacting  $I_c$ , were quantified and  
13 compared between 'before' and 'after' conditions.

14           Results: Effects of flow ball exercises depended on singer's habitual phonatory  
15 behaviours and on the position in the voice range. As computed over the entire range of the  
16 task,  $Q_{ci}$  was reduced by about 2% in five of ten singers.  $Q_{\Delta}$  was 2-6% lower in six of the  
17 singers, and 3-4% higher only in the two bass-baritones.  $I_c$  decreased by almost 4% in all  
18 singers.

19           Conclusion: Overall, vocal adduction was reduced and a more gentler vocal fold  
20 collision was observed for the 'after' conditions.

21           Significance: Flow ball exercises may contribute to the modification of phonatory  
22 behaviours of vocal pressedness.

23           **Keywords:** Flow ball; Semi-occluded vocal tract; Vocal fold contacting; FonaDyn  
24

## 25           1. INTRODUCTION

26           Exercises using a semi-occluded vocal tract (SOVTEs), i.e., with the vocal tract closed  
27 or constricted at one end (Titze, 2006), have been commonly used in voice pedagogy and  
28 rehabilitation (Berry, 1975; Linklater, 1976; Carroll & Sataloff, 1991; Habermann, 1980;  
29 Sovijärvi, 1966; Aderhold, E., 1963; Coffin, 1987; Westerman, 1990, 1996; Verdolini et al.,  
30 1995, 1998; Nix, 1999; Laukkanen, 1992, Titze, 2002). Examples of this type of exercises  
31 can be found in the literature as early as 1927. Previous reports state that, for example, a  
32 narrowing of the mouth by the tongue tip and the alveolar ridge (Engle, 1927) or phonating  
33 while holding a hand over the lips (Aderhold, 1963), or while using a tight linguopalatal  
34 constriction narrowing the space between the upper and the lower teeth with a close front  
35 vowel (Lessac, 1967), result in a more resonant voice. Using ‘lip trills’, ‘tongue trills’,  
36 ‘raspberries’ and exercises with voiced bilabial fricatives have been reported to promote  
37 more efficient phonation (Nix, 1999; Laukkanen, 1992). In addition, artificially lengthening  
38 and constricting of the vocal tract have early been reported to have therapeutic effects on the  
39 voice (Spielß, 1899). Phonating into tubes with the free end in water (Sovijärvi, 1964;  
40 Laukkanen et al., 1995) or into narrow tubes with the free end in air (Titze, 2006) increases  
41 the static back pressure ( $P_{back}$ ) in the vocal tract to a point that it alters its acoustic impedance  
42 so that it matches the one in the glottis (Titze & Story, 1997). It has been claimed that this  
43 matching impedance feeds energy back to the glottis through the in-phase velocity created  
44 between supraglottal pressure and airflow (Laukkanen et al., 1996; Titze, 2006). A  
45 consequence would be a lowering of the first formant frequency ( $f_{R1}$ ) with an increased input  
46 impedance in the range of the fundamental frequency ( $f_0$ ) (Story et al., 2000). Such an effect  
47 accounts for a lowering of the phonation threshold pressure and average airflow, two key  
48 components when reducing vocal effort (Story et al., 2000) and producing vocal economy,  
49 i.e., the production of high sound level with low vocal loading (Titze et al., 1997). For

50 example, Titze and associates (2002) found that the use of resistance straws when singing  
51 high pitches at high lung volumes would reduce the risk for trauma to the vocal folds. This  
52 effect could be because the aerodynamic effects of phonating into flow resistance tubes in  
53 decreasing the amplitude of vibration of the vocal folds (Titze et al., 2002). The result would  
54 then be a voice quality that is ideal from a physiological point of view, i.e., neither pressed  
55 nor breathy (Peterson et al., 1994; Verdolini et al., 1998).

56 A number of previous investigations have been concerned with impacts of different  
57 types of SOVTEs on voice production, as the physiology and implementation of these  
58 exercises can be quite distinct (i.e., frontal constriction, lengthening of the vocal tract, and  
59 creation of a second source of vibration) (Andrade et al., 2013). In fact, the degree of vocal  
60 tract occlusion seems to impact differently on airflow resistance: the higher the narrowing  
61 and lengthening of the vocal tract, the higher the resistance (Dargin & Searl, 2014). In  
62 addition, depending on whether or not there is a single source or a dual source of vibration  
63 into the vocal tract (i.e., the vocal folds alone or the vocal folds and the changes introduced  
64 into the intraoral pressure, respectively), SOVTEs can promote different effects on the  
65 acoustic properties of the vocal tract, measured as the difference between the first formant  
66 and the fundamental frequency (hence,  $f_{R1} - f_0$  difference). Exercises using hand-over-mouth,  
67 humming, and a flow resistance straw have been found to reduce  $f_{R1} - f_0$  difference, whereas  
68 those performed using tongue-trills, lip-trills, and LaxVox tubes were found to increase the  
69 difference  $f_{R1} - f_0$ . The first group of exercises were found to promote greater ease of  
70 phonation as compared to the second one (Andrade et al., 2013). More than tube length,  
71 different tube diameters and submerging depths of tubes in water result in different  
72 relationships between back pressure ( $P_{back}$ ) and flow ( $U$ ). For phonation in tubes submerged  
73 in water, the water depth determines an almost constant  $P_{back}$  as soon as  $U$  starts (when  $P_{back}$   
74 overcomes the pressure corresponding to the water depth), whereas for narrow tubes in air,

75 airflow changes produce relatively large changes in  $P_{back}$ . Such differences should be taken  
76 into consideration when implementing SOVTEs in voice pedagogy and therapy (Andrade et  
77 al., 2016).

78 Following the same line of thought, a study was carried out to investigate the  $P_{back}$  to  $U$   
79 relationships of a new device applied to SOVTEs, the flow ball. Besides semi-occluding and  
80 artificially elongating the vocal tract, the lifting of the polystyrene ball that comes with this  
81 device provides real-time visual feedback of airflow during phonation. The  $P_{back}$  vs.  $U$   
82 relationship resulting from this device was found to be similar to that of a straw of 3.7 mm  
83 diameter and 31 mm in length (Lã et al., 2017). Given this result, one may hypothesize that  
84 SOVTEs using a FB can promote effects similar to those of using straws.

85 Previous investigations have suggested a reduced vocal effort after SOVTEs with  
86 resistance straws. Given the positive association between the degree of vocal fold impact  
87 stress and contact quotient ( $CQ_{EGG}$ ): the higher the  $CQ_{EGG}$ , the higher the impact stress  
88 (Verdolini et al., 1998), it seems appropriate to investigate the immediate effects of SOVTEs  
89 using the FB.

90 Few studies describe the effects of different SOVTEs on vocal folds' vibratory modes  
91 analysed by means of electroglottography (EGG) (Herbst, 2019); their results are not  
92 conclusive concerning the effects of SOVTEs on  $CQ_{EGG}$ . A single-subject evaluation of the  
93 effects of resonant tube phonation and straw phonation demonstrated a decrease in  $CQ_{EGG}$   
94 after the exercise; and more so for the straw than for the tube (Guzman *et al.*, 2013). The  
95 difference between maximum and minimum  $CQ_{EGG}$  for the same token of sustained vowels,  
96 contact range ( $CQ_r$ ), was compared between pre and post SOVTEs using narrow resistance  
97 straws. The  $CQ_r$  exhibited no statistical differences (Andrade et al., 2013). However, when  
98 using a dual source of vibration (e.g., lip-trills, tongue-trills, or water bubbling), a larger  $CQ_r$   
99 was found in the post conditions. This result was attributed to a massage effect caused by

100 changes in the intraoral pressure (Andrade et al., 2013). Later studies found effects of  
101 SOVTEs on  $CQ_{EGG}$  to be dependent on individuals, regardless of type of SOVTEs or device  
102 used to artificially elongate the vocal tract (Dargin & Searl, 2015). Another investigation  
103 comparing 8 SOVTEs for their effects on  $CQ_{EGG}$  in healthy and pathological voices, showed  
104 significant differences only when comparing the conditions ‘before’ to ‘during’, or ‘during’  
105 to ‘after’ (Guzman et al., 2015). The straws used were 5 mm in diameter and 258 mm long,  
106 submerged 30 or 100 mm in water. No significant differences were found when comparing  
107  $CQ_{EGG}$  ‘before’ and ‘after’ the SOVTEs. The differences found depended on the type of  
108 SOVTEs and on the presence of vocal pathology. For the healthy group of participants,  
109  $CQ_{EGG}$  changed significantly between ‘before’, ‘during’ and ‘after’ for SOVTEs practiced  
110 with narrow straws in water and with hand-over-mouth technique. Exercises using resistant  
111 straws, lip trills, tongue trills and sustained consonant /m/ resulted in a lower  $CQ_{EGG}$  for the  
112 ‘after’ condition. The remaining SOVTEs demonstrated the opposite effect, i.e., an increase  
113 in  $CQ_{EGG}$  ‘after’. For the group of pathological voices,  $CQ_{EGG}$  was higher after SOVTEs, for  
114 all types of exercises and tools used (Guzman et al., 2015).

115         The present study aims at further assessing the effects of SOVTEs on the vibratory  
116 patterns of the vocal folds, testing a new device that artificially elongates the vocal tract  
117 while providing real-time visual feedback of airflow during phonation: the flow ball. The  
118 assessment was done by creating and evaluating voice maps of EGG metrics, using a recent  
119 software tool, *FonaDyn* (Ternström et al., 2018a).

120         The rationale for using the flow ball (Figure 1) as a pedagogical tool is to help the  
121 student to develop a proprioceptive awareness of a high flow phonation. To achieve such  
122 goal, exercises are necessary with a flow that is more extreme than habitual. For example,  
123 phonating with a ball height of 10 cm will require flows of 0.4 L/s (Lã et al., 2017). The aim  
124 is not to teach singing with breathy phonation, but rather to increase the student’s awareness

125 of what glottal posturing is needed in order to achieve an optimal pressure to flow ratio with a  
126 complete vocal closure.

127



128

129 **Figure 1. The flow-ball device used for the study ([www.powerbreathe.com](http://www.powerbreathe.com)). A 140 mm**  
130 **long tube with a rectangular cross section of 7 by 10 mm. A basket with a narrow,**  
131 **upward facing opening of 3.9 mm diameter 12 mm is attached to the tube. The device**  
132 **was supplied with a polystyrene ball of Ø 29 mm.**

133

## 134 **2. METHODS**

### 135 *2.1. Participants and study design*

136 Ten classically trained singers (5 males and 5 females, mean age 27.5 years, range 23 to  
137 37), all post-graduate students at the University of Aveiro, Portugal, volunteered to  
138 participate (Table 1). All participants were students of the same teacher (author F.L.) at a

139 university singing programme. They had all been practising singing exercises with phonating  
140 into straws and/or tubes of several diameters, submerged in water or not, as dictated by  
141 individual needs. However, they had not previously used the flow-ball device. The aim of the  
142 present study was to assess the immediate short-term effect of the device, before possibly  
143 embarking on a training programme. Such assessment would provide a first understanding of  
144 potential benefits of the tool. Prior to making the recording, participants signed a consent  
145 form, complying with each requirement of all applicable data protection and privacy laws.  
146 The participants were asked to sing *messa di voce* exercises, that is, sustaining a note from  
147 soft to loud and back to soft, on eight scale tones, with a total duration of about 15 seconds  
148 for each tone. The tones were chosen to match the voice category for both male and female  
149 participants.

150

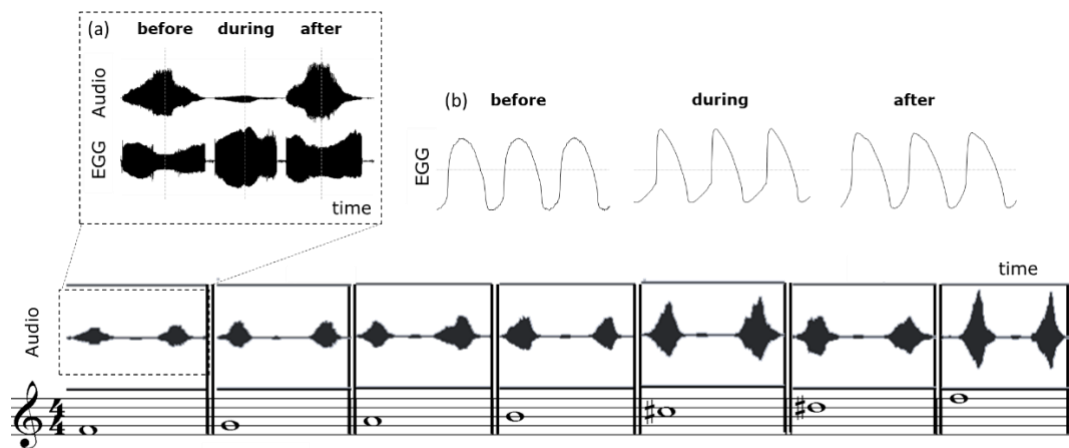
151 **Table 1. Scale tones sung as *messa di voce* by all participants.**

Participants	Voice category	Tones
S6-S10	Soprano	F4; G4; A4; B4; D#5; D#5; F5
S3-S5	Tenor	F3; G3; A3; B3; D#4; D#4; F4
S1, S2	Bass-Baritone	A2; B2; C#3; D#3; F3; G3; A3; B3; C#4; D#4

152



153 The task was performed in three consecutive conditions: baseline ('before'), flow ball  
 154 phonation ('during'), and again without the flow ball ('after'). This triplet was repeated eight  
 155 times in direct succession, on one scale tone at a time, on an ascending whole tone scale. All  
 156 exercises were performed standing. As an example, the resulting recording for one subject is  
 157 illustrated in Figure 2. Note how the EGG waveshape in this example changes considerably  
 158 from 'before' to 'during'. This was typically the case, and it demonstrates that the use of the  
 159 flow ball is affecting the vibratory behaviour of the vocal folds, whether directly through  
 160 acoustic interaction, and/or indirectly through changes in laryngeal posturing. However, we  
 161 avoid all quantitative assessment of the EGG waveshape in the 'during' condition, since (1)  
 162 the SPL with the flow ball cannot be matched to the SPL without the flow ball, and (2) other  
 163 methods such as MRI would be needed to track changes in laryngeal posturing.  
 164



165  
 166 **Figure 2. Example of the exercises sung in *messa di voce* for S02, a low voice male**  
 167 **singer. The left magnified inset shows one instance of the ‘before’, ‘during’ and ‘after’**  
 168 **conditions. The right magnified inset shows examples of the corresponding EGG**  
 169 **waveforms at maximum voice effort, in the three conditions.**  
 170

171 For the exercise using the flow ball ('during' condition), the following instructions  
172 were given, based on SOVTE protocols from previous literature:

- 173 • keep your head straight, avoiding a forward head and neck position
- 174 • maintain a neutral tongue position with the tip touching the front lower teeth  
175 while performing the exercise
- 176 • avoid leakage at the lips while phonating into the device
- 177 • maintain the ball in the air (off the basket) and increase/ decrease its height  
178 following the sound intensity
- 179 • awareness should be on airflow rather than on sound quality

180 For the 'after' condition, participants were asked to try to retain the same kinaesthetic  
181 sensations of vocal tract shape and airflow as in the 'during' condition.

182

183 In Figure 2, we note in passing how the EGG amplitude happens to decrease with  
184 increasing voice intensity, *except* in the 'during' condition. Such a decrease could be due for  
185 instance to a change in the vertical position of the larynx, moving the vocal folds away from  
186 the EGG electrodes. Because such confounding variations due to a changing electrical path  
187 are well known to exist, the EGG metrics used here (Ternström, 2019) are designed to  
188 completely disregard the EGG amplitude. The EGG amplitude does not enter into the present  
189 analyses; it is only the *shape* of the normalized EGG pulse that is considered.

190 The two-channel voice and EGG signal files were edited manually using a signal editor  
191 (Soundswell Workstation, [www.neovius.se/voicejournal](http://www.neovius.se/voicejournal)) and then upsampled to 44.1 kHz  
192 per channel, using the ReSample tool in Soundswell. The upsampling was done for  
193 compatibility with FonaDyn. The signal bandwidth remains the same, 8 kHz, after  
194 upsampling, since no new information is added.

195

196           2.2. *Recordings and procedures*

197           All recordings were made in a sound treated room at the Department of  
198           Communication and Arts of Aveiro University, Portugal. A Laryngograph microprocessor  
199           (www.laryngograph.com) was used to record both audio and electrolaryngographic (ELG)  
200           signals. Although Adrian Fourcin, the founder of the Laryngograph company, argues in  
201           favour of the term ‘electrolaryngography’ (ELG) rather than ‘electroglottography’ (EGG), the  
202           term EGG will be used in this article. For recording the audio signal, a head-mounted  
203           omnidirectional electret condenser boom microphone (Knowles model EK3132) was used.  
204           The microphone-to-mouth distance was adjusted for each singer to avoid clipping, and then  
205           kept the same throughout the recording. The distance was noted for each singer and later used  
206           to correct the level calibration of the audio signal. For the recording of the EGG signal, two  
207           electrodes were placed on either side of the larynx and held in place by an elastic neckband.  
208           The audio and EGG signals were monitored visually in real time using the recording software  
209           SpeechStudio (www.laryngograph.com), thus ensuring correct electrode placement and audio  
210           acquisition. The sampling rate was 16 kHz per channel, giving a signal bandwidth of 8 kHz,  
211           and the sample resolution was 16 bits.

212

213           2.3. *EGG analysis*

214           In order to assess whether the amount and character of vocal fold contacting is  
215           influenced by SOVTEs using a flow ball, an EGG method was employed. The amount of  
216           vocal fold contacting was assessed using three time-domain metrics of the EGG waveform  
217           that were recently proposed by Ternström (2019): (1) the quotient of contact by integration,  
218            $Q_{ci}$ , (2) the normalized peak derivative,  $Q_{\Delta}$ , and (3) a combination of these two, the index of  
219           contacting,  $I_c$ . The advantages of these metrics over the conventional  $CQ_{EGG}$  and the peak  
220           dEGG are that their definitions do not rely on identifying any thresholds or events in the

221 EGG signal. The new metrics all assume EGG pulses that are normalized to the interval  
222 [0...1] in both amplitude and duration. For a detailed specification of how these metrics are  
223 defined and computed, the reader is referred to that article, published with Open Access.

224 In brief,  $Q_{ci}$  is the *area* under the normalized EGG pulse. It increases with the relative  
225 duration of contacting, regardless of the shape of the EGG pulse, and without regard for the  
226 events of vocal fold collision or decontacting. Hence neither this nor any other EGG-based  
227 *contact* quotient is identical to the *closed* quotient for glottal airflow (Lã & Sundberg, 2015).

228  $Q_{\Delta}$  is the amplitude of the peak derivative over the normalized pulse. It is conceptually  
229 similar to the inverse of the normalized amplitude quotient (NAQ) introduced by Alku (2002)  
230 for glottal airflow, but scaled such that a sinusoidal pulse (no contacting) receives a minimum  
231  $Q_{\Delta}$  value of +1. If the medial faces on contact are flat and parallel, then the peak derivative of  
232 the contact area will be very high (even if the collision speed is low!). In principle, it can go  
233 to infinity. In practice, it is limited by the bandwidth of the EGG signal, which in the present  
234 study was limited to 8 kHz by the 16 kHz sample rate.

235 The  $I_c$  is defined as  $Q_{ci} \times {}^{10}\log(Q_{\Delta})$ , which usefully produces a value near zero for no  
236 contacting, and a value of around +1 for very firm and rapid contacting. This permits some  
237 interpretation also of the very low-amplitude EGG signals that occur when the vocal folds are  
238 vibrating but not colliding, when the contact quotient essentially loses its meaning (Herbst &  
239 Ternström, 2006).

240 The EGG waveform changes considerably across the voice range (Ternström et al.,  
241 2018b), so it is important to compare waveforms, pre and post SOVTEs with the flow ball  
242 always at the same  $f_0$  and sound level. The FonaDyn tool facilitates such a comparison by  
243 creating voice maps (Pabon, 2018), that is, color-coded surface plots of each metric, with  $f_0$   
244 on the horizontal axis and SPL on the vertical axis. The plane of  $f_0 \times$  SPL will be referred to

245 as the *voice field*. FonaDyn automatically segments the EGG cycles, computes all metrics of  
246 interest for each cycle, and averages the metric values into 2D histograms, with a bin size of  
247 one semitone and one decibel. The average in each bin is then taken as the value of the metric  
248 at any given  $f_0$  and SPL (both of which are computed from the *audio* signal). A periodicity, or  
249 ‘clarity’ threshold is applied that inhibits the detection of EGG cycles when phonation is not  
250 sufficiently periodic (McLeod & Wyvill, 2005). For this study, that threshold was set to 0.96.  
251 Also, a cycle-count threshold was applied, at a minimum of five EGG cycles per bin.

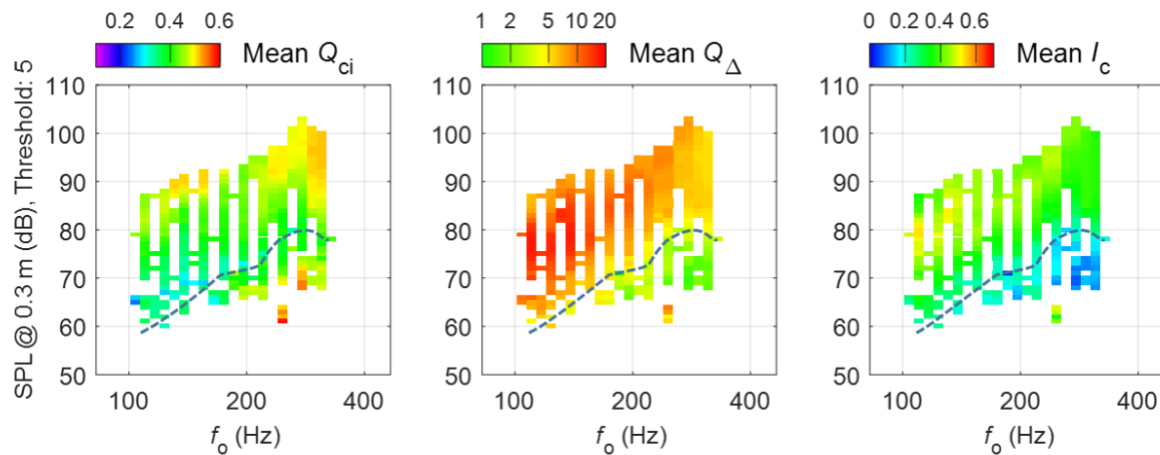
252 For convenience of analysis, all ‘before’ productions of each subject were concatenated  
253 consecutively into one file, and all ‘after’ productions into another. Voice maps were then  
254 made of the aggregated ‘before’ and ‘after’ productions respectively, even though these were  
255 not produced contiguously in time. It was not meaningful to create voice maps of the ‘during’  
256 productions, since the audio SPL is completely different when using the flow ball.

257

#### 258 *2.4. Mapping of EGG metrics*

259 Each cell in a given voice map, at a given position of  $f_0$  and SPL, holds a cycle average  
260 of the metric of interest; here:  $Q_{ci}$ ,  $Q_{\Delta}$ , or  $I_c$ . Each map is made up of some hundreds of non-  
261 empty cells. Figure 3 shows an example of voice maps of the three metrics, for the ‘before’  
262 productions of singer S01.

263



264

265 **Figure 3. Example of voice maps of the three metrics  $Q_{ci}$ ,  $Q_{\Delta}$ , and  $I_c$  (left to right), for**  
 266 **the ‘before’ productions of singer S01. The dashed line indicates approximately the**  
 267 **onset of full VF contacting, based on  $Q_{\Delta}$ , and copied to  $Q_{ci}$  and  $I_c$ .**

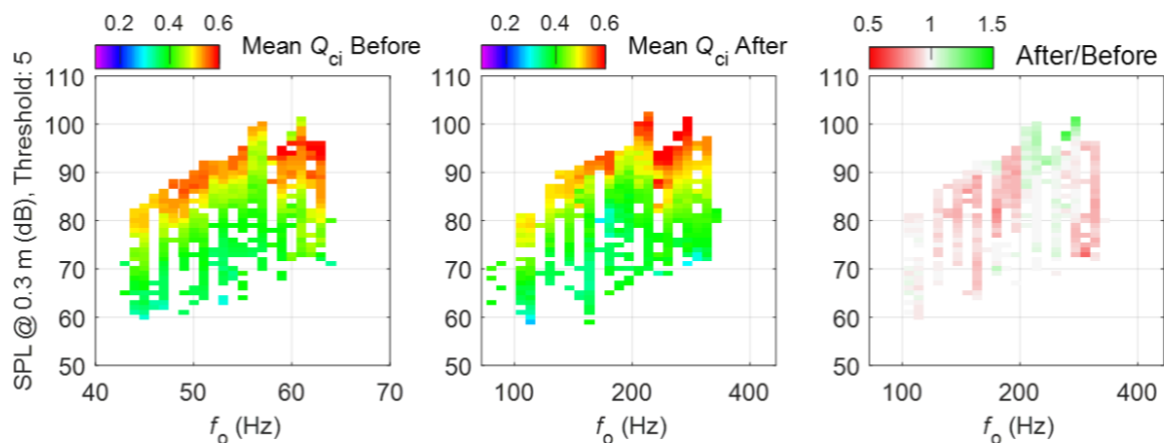
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269 A dashed line, derived by experience from the  $Q_{\Delta}$  map, has been added to indicate the  
 270 approximate onset of full contacting of the vocal folds. When the vocal folds are not  
 271 colliding, the EGG signal is of small amplitude, nearly sinusoidal and somewhat noisy. Note  
 272 how  $Q_{ci}$  therefore is higher and somewhat speckled at the lowest levels. However, such soft  
 273 phonation is not commonly used by classically trained singers. Note also how  $Q_{\Delta}$  (and  
 274 therefore also  $I_c$ ) tends to decrease with increasing  $f_o$ . This is mostly an effect of the number  
 275 of in-band harmonics (here, < 8 kHz) decreasing as  $f_o$  increases. To eliminate this  
 276 dependency, it is possible to constrain the EGG signal to, say, ten harmonics, and then  
 277 compute the EGG metrics from the constrained signal (Ternström et al., 2018a). However,  
 278 this dependency will not matter for the purposes of the present study, since the metrics will  
 279 always be compared at the same  $f_o$  in the ‘before’ and ‘after’ conditions.

280 One may now plot a *delta map* that visualizes the change from ‘before’ to ‘after’.

281 Figure 4 shows an example of voice maps of the ‘before’ and ‘after’ conditions, and the

282 corresponding delta map. In each cell or given location ( $f_o$ , SPL), the comparison is  
 283 expressed as the ratio between the two values of a given metric ‘after’ and ‘before’. The use  
 284 of ratios rather than differences is convenient for visualization, because relative changes are  
 285 independent of the magnitude of the metric under observation. The magnitudes of  $Q_{ci}$  and  $I_c$   
 286 are in the range  $[0 \dots 1]$ , while  $Q_{\Delta}$  is always  $\geq 1$ . Ratios  $> 1$  indicate an increase from ‘before’  
 287 to ‘after’ and are mapped to shades of green. Ratios  $< 1$  indicate a decrease from ‘before’ to  
 288 ‘after’ and are mapped to shades of red. No difference (ratio = 1) is rendered as light gray. It  
 289 can be seen that there are often connected regions of green and red shades in the delta maps,  
 290 which means that there are systematic differences between ‘after’ and ‘before’. It is also clear  
 291 that, for a given metric, the effect can be an increase or a decrease, depending on the location  
 292 in the voice field. Maps of all individuals are provided in Appendix A. Given that these local  
 293 effects are notoriously individual, as was found also in a previous study of EGG and lung  
 294 volumes (Ternström et al., 2018b), we decided to test whether even the very coarse method  
 295 of simply taking the ratio of change, averaged over *all cells* (=bins) in the entire delta map,  
 296 would still demonstrate an effect.



297  
 298 **Figure 4. Example of a voice map comparison. Left: the voice map of  $Q_{ci}$  of subject S02**  
 299 **‘before’; centre: ditto, ‘after’; right: the delta map showing the cell-by-cell pairwise**  
 300 **ratios, on a red-gray-green scale for the interval  $[0.5, \dots, 1.5]$  where light gray**

301 **represents a ratio of 1.0 (no change). Here, the geometric mean of all ratios was 0.95 (<**  
302 **1, red, reduction in ‘after’) which was significant ( $p < 0.001$ , see also Table 2).**

303

#### 304 *2.5. Statistical Analysis*

305 For testing the statistical significance of the comparisons of ‘after’ to ‘before’, the  
306 paired cell-by-cell differences, rather than the ratios, were computed, for all positions in a  
307 delta map that were non-empty both ‘before’ and ‘after’. In most cases, the distribution of  
308 these differences was not normal. Therefore, the statistical significance of these differences  
309 was computed with the non-parametric Wilcoxon signed-rank test, at a significance level of  $p$   
310  $< 0.05$ . Also, a one-sample t-test was carried out to assess the significance of the overall  
311 percentages of change for each metric; these were normally distributed as determined by a  
312 Kolmogorov-Smirnov test.

313

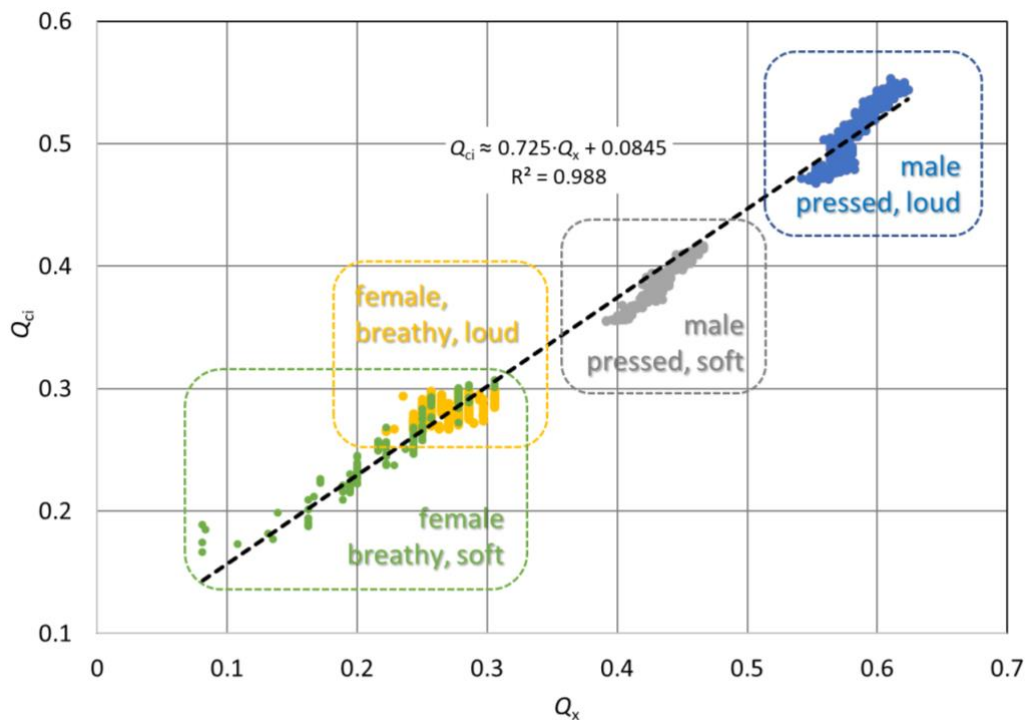
#### 314 *2.6. Comparison of $Q_{ci}$ to $Q_x$ and $CQ_{HYBRID}$*

315 Herbst & Ternström (2006) and Herbst (2019) discuss and evaluate in some depth the  
316 several definitions of  $CQ_{EGG}$  that can be found in the literature, concluding that a definitive  
317 definition has yet to be decided upon. Most  $CQ_{EGG}$  metrics are based on thresholds and do  
318 not account for the pulse shape, only the pulse width. For instance, they can be ambiguous if  
319 in the EGG pulse shape there are multiple peaks that cross the threshold. Ternström (2019)  
320 proposed  $Q_{ci}$  for having the advantages that it is free from thresholds, and gives transient-free  
321 results even for EGG pulses with sporadic local maxima during the opening phase. Since  $Q_{ci}$   
322 is defined as the area under the normalized pulse, it does not suffer from threshold  
323 ambiguities; nor is it influenced by local extrema in the EGG derivative. Still,  $Q_{ci}$  is highly  
324 correlated and similar, though not identical, to other widely used metrics of the EGG contact  
325 quotient. This is demonstrated by the following two examples.



326 A well-established tool for analysing EGG signals is the Speech Studio system  
 327 developed by Fourcin and associates ([www.laryngograph.co.uk](http://www.laryngograph.co.uk)). According to its manual,  
 328 this software measures a contact quotient  $Q_x$  as that fraction of a cycle that is spent above a  
 329 threshold at 30% of the peak-to-peak amplitude of the EGG pulse. The results can be  
 330 exported to a text file that includes the  $Q_x$  of every pulse. For a comparison with  $Q_{ci}$ , four  
 331 ‘before’ productions were chosen from the present material. The choice was based on  
 332 auditory and visual identification of widely differing phonation types, i.e., breathy and  
 333 pressed, from a female and a male subject. The recordings were run through Speech Studio to  
 334 obtain  $Q_x$  values for every cycle, and also through FonaDyn 2.0 to obtain the matched  $Q_{ci}$   
 335 values of the same cycles. Figure 5 shows that, for these samples, the relationship between  $Q_x$   
 336 and  $Q_{ci}$  is practically linear,  $Q_{ci} \approx 0.725 \cdot Q_x + 0.0845$ , with a high correlation coefficient,  
 337  $r > 0.99$ . When  $Q_x = 0.3$ ,  $Q_{ci}$  is also close to 0.3.

338



339

340 **Figure 5: Comparison of the quotient of contact by integration  $Q_{ci}$  (vertical) to the**  
341 **threshold-based measure  $Q_x$  (horizontal) of the Speech Studio system, for four different**  
342 **types of phonation in singing. Each point represents one EGG cycle.**

343

344 Another well-known definition of the EGG contact quotient is the dEGG-hybrid  $CQ$   
345 (Howard, 1995). It is defined as the fraction of the cycle from the dEGG peak to the first  
346 point below  $3/7$  of the EGG maximum amplitude. We compared  $Q_{ci}$  also to this  $CQ$ , using  
347 the entire voice map data of the present material, and also those of another study (Patel and  
348 Ternström, 2019) of 26 male and female untrained speakers exercising their full voice range.  
349 A useful rule of thumb was found that, for both studies,  $Q_{ci} \approx 0.75 \cdot CQ + 0.1$ , with a  
350 correlation coefficient  $r$  on the order of 0.9. This relationship of  $Q_{ci}$  to  $CQ$  is very similar to  
351 that of  $Q_{ci}$  to  $Q_x$ , as found above. The correlation of  $Q_{ci}$  to  $CQ$  was typically  $> 0.9$  for  
352 “normal” EGG pulse shapes and  $< 0.9$  for more unusual ones. This is as expected and  
353 intended, since the pulse area and the time above threshold are two different things.

354

### 355 3. RESULTS

356 In Appendix A, we present the individual voice maps of all subjects and metrics:  
357 ‘before’, ‘after’, and the delta maps of the ratios ‘after’/‘before’. The subjects S01-S05 are  
358 male, and S06-S10 are female. The need for making such maps becomes evident when  
359 observing the large individual differences in how the chosen metrics vary over  $f_0$  and SPL.  
360 Note also how each singer is quite consistent when repeating the task ‘before’ and ‘after’.

361 Table 2 summarizes the data in the delta maps, for each metric ‘after’ and ‘before’, by  
362 the arithmetic mean difference, the statistical significance of that difference, and the  
363 geometric mean ratio. Also, the overall means and standard deviations across all singers are  
364 given. The ratios expressed as percentage changes are also illustrated in Figure 6.

365

366

367 **Table 2. Means of cell-by-cell comparisons of ‘after’ to ‘before’, taken over the entire**

368 **delta map, per metric and per subject, and overall means and standard deviations (SD)**

369 **across all singers. Significance level: \*  $p < 0.05$  .  $Q_{ci}$  = quotient of contact by**

370 **integration;  $Q_{\Delta}$  = normalized peak derivative;  $I_c$  = index of contacting.**

371

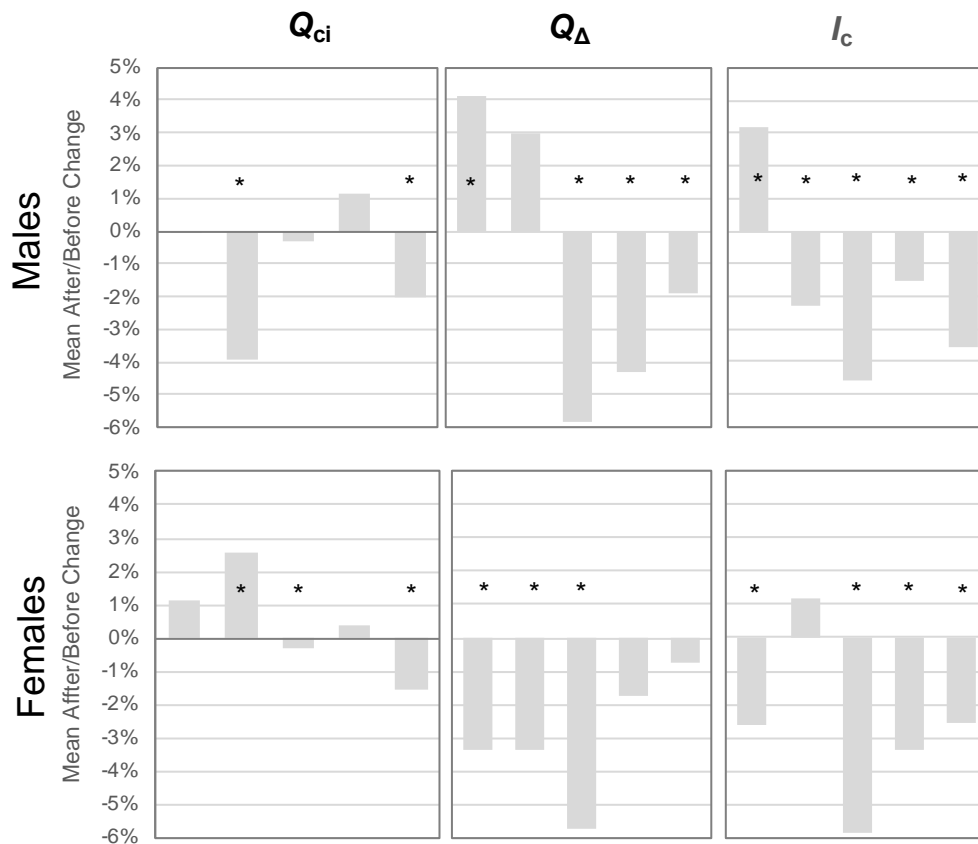
		$Q_{ci}$			$Q_{\Delta}$			$I_c$		
Singers		mean diff	sign. level	percent change	mean diff	sign. level	percent change	mean diff	sign. level	percent change
Males	S01	-0.001	NS	-0.04%	0.293	*	<b>4.11%</b>	0.007	*	<b>3.18%</b>
	S02	-0.017	*	<b>-3.91%</b>	0.192	NS	2.96%	-0.010	*	<b>-2.27%</b>
	S03	0.000	NS	-0.30%	-0.330	*	<b>-5.84%</b>	-0.010	*	<b>-4.58%</b>
	S04	0.004	NS	1.16%	-0.272	*	<b>-4.31%</b>	-0.006	*	<b>-1.55%</b>
	S05	-0.008	*	<b>-2.03%</b>	-0.078	*	<b>-1.89%</b>	-0.010	*	<b>-3.57%</b>
Females	S06	0.003	NS	1.12%	-0.108	*	<b>-3.39%</b>	-0.005	*	<b>-2.61%</b>
	S07	0.009	*	<b>2.56%</b>	-0.123	*	<b>-3.34%</b>	0.002	NS	1.15%
	S08	-0.001	*	<b>-0.30%</b>	-0.187	*	<b>-5.70%</b>	-0.011	*	<b>-5.84%</b>
	S09	0.001	NS	0.38%	-0.081	NS	-1.76%	-0.005	*	<b>-3.33%</b>
	S10	-0.007	*	<b>-1.58%</b>	-0.019	NS	-0.74%	-0.005	*	<b>-2.52%</b>
Overall	Mean	-0.002		-0.29%	-0.071		-1.99%	-0.017		-0.81%
	SD	0.007		1.84%	0.191		3.35%	0.0064		0.38%

372

373

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375



376

377 **Figure 6. Bar graphs of the relative changes in the three metrics, as geometric means**  
378 **over the difference map, per subject. Top row: male singers S01-S05, bottom row:**  
379 **female singers S06-S10. Asterisks denote statistically significant changes.  $Q_{ci}$  = quotient**  
380 **of contact by integration;  $Q_{\Delta}$  = normalized peak derivative;  $I_c$  = index of contacting.**

381

#### 382 4. DISCUSSION

383 It is conceivable that the effect of using a SOVTE using the flow ball would be quite  
384 different in different parts of the voice range; and different also depending on the singer's  
385 habitual phonatory behaviours. Indeed, this is what emerges from the voice maps presented  
386 here, substantiating the need for a shift from isolated scalar metrics at a few selected pitches  
387 or levels, to mapping *distributions* of scalar metrics across the voice field (Ternström &

388 Pabon, 2019). However, the challenge then arises to quantify such results in a meaningful  
389 way.

390 Here, voice maps of EGG time-domain metrics were used to explore and quantify the  
391 effects of performing a SOVTE using the flow ball device. The task was to perform, on each  
392 of eight scale tones, a *messa di voce* three times: without the flow ball device ('before'), with  
393 it ('during') and again without using the flow ball device ('after'). The results therefore  
394 indicate whether or not using the flow ball had an *immediate*, short-term effect on the  
395 subject's phonation for each *messa di voce* exercise. Although there were often considerable  
396 individual differences within each participant's *tessitura*, our primary research question was  
397 to test the overall effect of the flow ball exercise. Therefore, the effect size is reported only as  
398 the gross average over each entire delta map.

399 The results show that, for the contact quotient  $Q_{ci}$ , five of ten singers exhibited  
400 significant but small effects. Four of them reduced  $Q_{ci}$  by about 2% (Figure 6). Although this  
401 may seem like a small change when compared to findings in previous studies (e.g., Guzman  
402 et al, 2015), it is the *average* change across the whole voice map, i.e., over the ensemble of  
403 trials. Typically, larger effects were seen locally, e.g., in soft voice, or at high pitch (see the  
404 delta maps of the individual singers).

405 The individual variation is in line with previous findings on the effects of SOVTEs on  
406 the  $CQ_{EGG}$  (Dargin & Searl, 2015). The  $Q_{ci}$  metric is a metric primarily related to vocal fold  
407 adduction (provided that phonation is above the threshold of vocal fold collision). A greater  
408 adductive force will prolong the portion of the phonatory cycle for which the vocal folds are  
409 in contact. In this sense, the flow ball promoted a less adductive behaviour in four of the five  
410 singers in whom a significant change in  $Q_{ci}$  was observed.

411 The reduced adduction can be seen also in the  $Q_{\Delta}$  metric, when comparing 'after' to  
412 'before'. It was 2-6% lower in six of the singers, and 3-4% higher only in the two bass-

413 baritones, S1 and S2 (Figure 6). From purely geometric considerations, it can be inferred that  
414 the  $Q_{\Delta}$  metric depends both on the speed with which the vocal folds approach each other, and  
415 on the shape and posturing of the medial faces of the vocal folds, in terms of their flatness  
416 and contacting angle. A lowered transglottal pressure, as invoked by semi-occluding the  
417 vocal tract with the flow ball (the ‘during’ condition), will reduce the vibration amplitude and  
418 hence the transversal velocity of the vocal folds, assuming that  $f_0$  remains the same, which  
419 was the case for all the comparisons made here. Here, the decrease in  $Q_{\Delta}$  presented ‘after’ by  
420 six of the singers could imply either that the transglottal pressure remains somewhat lowered,  
421 or that the vocal folds posturing has changed toward more gradual contacting, conceivably  
422 reducing the impact stress on the medial faces. In the two bass-baritones, the observed  
423 increase in  $Q_{\Delta}$  happens mostly in soft phonation, as can be seen in the delta maps (subjects  
424 S01 and S02 in Appendix A). This indicates that in the ‘after’ condition, the low male voices  
425 achieved a more abrupt vocal folds closure in soft phonation. This could mean that in the  
426 ‘after’ condition, they were able to maintain vocal fold contacting down to a lower SPL than  
427 in the ‘before’ condition.

428         The purpose of the  $I_c$  metric is twofold: (1) it combines information related both to  
429 deceleration at vocal fold collision ( $Q_{\Delta}$ ) and the proportion of cycle time spent in contact; and  
430 (2) it overrides the large  $Q_{ci}$  values observed in very soft phonation, by reporting near-zero  
431 values when there is no vocal fold contact. In six singers,  $I_c$  decreased by almost 4%, on  
432 average, in the ‘after’ condition, reflecting generally an overall promotion of gentler vocal  
433 fold collision. Singer S1 exhibited an increase of 3%, which again happened in the softest  
434 part of his *messa di voce*, as shown by inspection of his delta map of  $I_c$ . When pooling the  
435 results across all singers, the  $I_c$  metric was the only one exhibiting a significant relative  
436 change, a reduction of -0.81% in the ‘after’ condition.

437 The comparisons here were always made at paired  $f_0$  and SPL locations, so they test for  
438 an immediate effect *within* each scale tone trial. There may be a carry-over or training effect  
439 of using the flow ball that would affect subsequent repetitions on ascending scale tones. The  
440 order of the scale tones was not randomized, but always ascending by whole tone steps.  
441 Hence, if there was a training effect over trials, or an effect of increasing  $f_0$ , these effects did  
442 not completely obscure the immediate effect observed.

443 It may well be that using a flow-ball will modify the singer's breath management  
444 during expiration; for instance, controlling the flow will involve a conscious counteraction of  
445 the elastic recoil of filled lungs and thus perhaps affect phonation indirectly by way of  
446 tracheal pull (Ternström & al, 2018b). However, since in the present study we did not  
447 monitor the breathing, such effects cannot be discriminated.

448 Here  $Q_{ci}$ ,  $Q_{\Delta}$  and  $I_c$  were computed directly from the EGG waveform, preconditioned  
449 with high-pass and low-pass filters. In other recent studies by author S.T. (Ternström et al.,  
450 2018b; Patel & Ternström, 2019), these parameters have been computed from a processed  
451 version of the EGG waveform that has been spectrally constrained to the first ten Fourier  
452 components, or harmonics. For  $Q_{ci}$  this makes a negligible difference. However, that method  
453 limits the maximum  $Q_{\Delta}$  that can be obtained, and so the  $Q_{\Delta}$  and  $I_c$  values reported here cannot  
454 be directly compared to those in the other studies. However, it does not affect the conclusions  
455 drawn here, since all 'before'-'after' comparisons are made pairwise at the same values of  $f_0$   
456 and SPL.

457

## 458 5. CONCLUSIONS

459 Overall, the use of a FB device in performing a *messa di voce* exercise has short-term  
460 effects on vocal fold contacting similar to those of using other SOVT tools. From the EGG  
461 results, it can be inferred that, on the whole, using the FB induces less adductive phonation

462 and gentler vocal fold collision in immediately repeated trials. Only the  $I_c$  metric showed a  
463 significant reduction across *all* singers. Further study will be needed to relate this metric to  
464 the underlying physiological events. The individual differences that were seen in the voice  
465 maps and in the relative changes point to the need for further developing measurements that  
466 can be mapped over the voice range and hopefully related to the perceived aspects of voice  
467 function. Voice maps seem to be a promising method of following the effects of SOVTEs  
468 from voice training and clinical points of view. The individual differences seen here point to  
469 the need for tailoring the exercise to the singer, and not the other way around.

470

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480

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